



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce Gordon Ramsey

S/N: 10/621,700

Filed: July 17, 2003

Entitled: Vacuum Chamber Load Lock Structure and Article Transport Mechanism

Atty. Dkt.: 99A429

Group No.: 1762

Examiner: Eric B. Fuller

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 23, 2006  
(Date)

Betty Lee

Printed name of person signing this certificate

*Betty Lee*

Signature of person mailing

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(C))**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311,

whichever occurs first.

## STATEMENT OR FEE

2. Accompanying this transmittal is

(check either A or B below)

A.  a statement as specified in 37 C.F.R. § 1.97(e).

**OR**

B.  the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

### FEE PAYMENT

(complete this item, if applicable)

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).

Fee due \$ \_\_\_\_\_

### METHOD OF PAYMENT OF FEE

4.  Authorization is hereby made to charge the amount of \$ \_\_\_\_\_

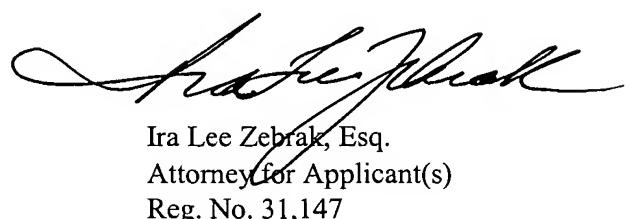
to Deposit Account No. 02-2865

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Respectfully submitted,

Date: Jan 23, 2006  
The BOC Group, Inc.  
Legal Services - Intellectual Property  
575 Mountain Ave.  
Murray Hill, NJ 07974  
(908) 771-6469

  
Ira Lee Zebrak, Esq.  
Attorney for Applicant(s)  
Reg. No. 31,147

Customer No.: 020411



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce Gordon Ramsay  
S/N: 10/621,700  
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(Date)

Betty Lee  
Printed name of person signing this certificate

Betty Lee  
Signature of person mailing

Dear Sir:

**STATEMENT FOR INFORMATION DISCLOSURE  
UNDER 37 C.F.R. Section 1.97(e)**

**IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT  
FOR WHICH THIS STATEMENT IS BEING MADE**

1. This statement is being made for the Information Disclosure Statement herewith.

**STATEMENT**

2. I, the person signing below, state that each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. 37 C.F.R. Section 1.97(e)(1).

**IDENTIFICATION OF PERSON MAKING THIS STATEMENT**

3. The person making this certification is:

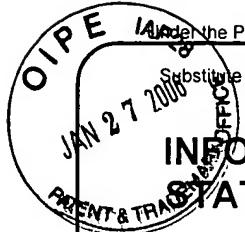
- (a) the practitioner who signs below on the basis of the information in the practitioner's file.

Date: Jan 23, 2006  
Reg. No.: 31,147

Tel. No.: (908) 771-6469  
Customer No.: 020411

Ira Lee Zebrak  
SIGNATURE OF PRACTITIONER

Ira Lee Zebrak  
The BOC Group, Inc.  
Legal Services-Intellectual Property  
575 Mountain Avenue  
Murray Hill, NJ 07974



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Shee'

1

of

2

**Complete if Known**

Application Number	10/621,700
Filing Date	July 17, 2003
First Named Inventor	Bruce Gordon Ramsay
Art Unit	1762
Examiner Name	Eric B. Fuller
Attorney Docket Number	99A429

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

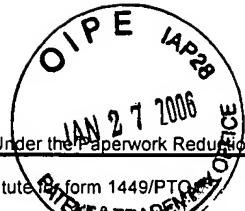
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	3	WO 99/35673 A	07-15-1999	Tokyo Electron Arizona, Inc.		
	4	JP 11-50253	02-23-1999	Shibura Eng Works Co		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PATENT & TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2 Attorney Docket Number 99A429

Complete if Known

Application Number	10/621,700
Filing Date	July 17, 2003
First Named Inventor	Bruce Gordon Ramsay
Art Unit	1762
Examiner Name	Eric B. Fuller

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	5	UTSUNOMIYA NOBUAKI, Patent Abstracts of Japan, Abstract of JP11-50253, "Vacuum Treating Device," February 23, 1999	
	6	Partial European Search Report of Application Number EP 01 30 8428; Date of mailing: January 2, 2006	

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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